

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE		DATE: August 14, 2006
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. Application No. (if known): <b>20/589360</b>
INTERNATIONAL APPLICATION NO.: PCT/JP2005/011817	INTERNATIONAL FILING DATE: June 28, 2005	PRIORITY DATE CLAIMED: June 30, 2004
TITLE OF INVENTION: CORROSION RESISTANT RARE EARTH METAL PERMANENT MAGNETS AND PROCESS FOR PRODUCTION THEREOF		
APPLICANT(S) FOR DO/EO/US: Ryuji HAMADA		
Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 USC 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)): a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). ITEMS 11. TO 20. BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED: 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98 together with the international search report, PTO/SB/08 and 19 references. 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. ASSIGNEE NAME AND ADDRESS: <u>Shin-Etsu Chemical Co., Ltd., Tokyo, Japan.</u> Please publish the assignee data with the application. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 C.F.R. 1.76 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821 - 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input type="checkbox"/> Other items or information:		

U.S. APPLICATION NO. (if known) <b>10/389380</b>	INTERNATIONAL APPLICATION NO. <b>PCT/JP2005/011817</b>	DATE: August 14, 2006	
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The following fees are submitted:	CALCULATIONS	PTO USE ONLY
21. <input checked="" type="checkbox"/> Basic National Stage Fee \$300 22. <input checked="" type="checkbox"/> National Stage Examination Fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) \$100 All other situations \$200 23. <input checked="" type="checkbox"/> National Stage Search Fee Search fee (37 CFR 1.445(a)(2) has been paid on the international application to the USPTO as an International Searching Authority \$100 International Search Report prepared and provided to the Office \$400 All other situations \$500  ENTER APPROPRIATE BASIC FEE AMOUNT =	\$ 300.00   \$ 200.00   \$ 400.00   \$ 900.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		

  

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
TOTAL ~	19 - 20 =		X \$ 50.00		
INDEPENDENT	6 - 3 =	3	X \$ 200.00	\$ 600.00	
Multiple dependent claims(s) (if applicable)			+ \$360.00	\$ 360.00	
TOTAL OF ABOVE CALCULATIONS =				\$1,860.00	
National Stage Application Size Fee-for each additional 50 sheets that exceeds 100 sheets \$ 250.00.					
Reduction by 1/2 for filing by small entity, if applicable. (Note 37 CFR 1.9, 1.27, 1.28).					
SUBTOTAL =				\$1,860.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +					
TOTAL NATIONAL FEE =				\$1,860.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$ 40.00	
TOTAL FEES ENCLOSED =				\$1,900.00	
				Amount to be:	
				refunded	\$
				charged	\$

  

a. ☒ A check in the amount of \$1,900.00 to cover the above fees is enclosed. (\$300.00 for basic national stage fee; \$400.00 for national stage search fee; \$200.00 for national stage examination fee; \$600.00 for 3 extra independent claims in excess of 3; \$360.00 for multiple dependent claims and \$40.00 for assignment recordation fee).

b. ☐ Please charge my Deposit Account No. 50-2866 in the amount of \$\_\_\_\_\_ to cover the above fees.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2866.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.

  

Send All Correspondence To:	WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP 1250 Connecticut Avenue NW Suite 700 Washington, DC 20036 CUSTOMER NO. 38834
Typed or Printed Name: Stephen G. Adrian	
Signature	
Date: August 14, 2006	

IN THE U.S. PATENT AND TRADEMARK OFFICE

APPLICANT:

Shin-Etsu Chemical Co., Ltd.

FOR:

CORROSION RESISTANT RARE EARTH MAGNETS AND  
PROCESS FOR PRODUCTION THEREOF

D E C L A R A T I O N

Honorable Commissioner of Patents  
Washington, D.C. 20231

Sir,

I, Takashi Kojima, a patent attorney of Ginza  
Ohtsuka Bldg., 2F, 16-12, Ginza 2-chome, Chuo-ku, Tokyo,  
Japan do hereby solemnly and sincerely declare:

1) THAT I am well acquainted with Japanese language  
and English language;

2) THAT the attached is a full, true and faithful  
translation into English made by me of the PCT application  
of which number is PCT/JP2005/011817, filed in Japan  
on the 28 June 2005.

3) THAT I declare further that all statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful statements may jeopardize the validity of the application or any patent issued thereon.

AND I being sworn state that the facts set forth above are true.

Dated this 26<sup>th</sup> day of July 2006

  
Takashi KOJIMA